



410.019

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

L. HEUX et al

Serial No.: 10/018,326

Filed: December 10, 2001

For: MICROFIBRILLATED...SOLVENT

Group: 1615

600 Third Avenue New York, N.Y. 10016

April 7, 2003

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LETTER

Hon. Commissioner for Patents Washington, D.C. 20231

Sir:

In order to complete the record, Applicants are submitting herewith the English translation of the international preliminary examination report.

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Respectfully submitted, Muserlian, Lucas and Mercanti

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CAM:ds **Enclosures**

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PATENT COOPERATION TREATY

PCT

INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Article 36 and Rule 70)

Applicant's or agent's file reference	FOR FURTHER	ACTION See No	ntification of Transmittal of International ary Examination Report (Form PCT/IPEA/416)		
International application No. PCT/FR 00/01628	International filing da	te (day/month /year)	Priority date (day/month/year)		
International Patent Classification (II	C) or national classification	and IPC			
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Applicant					
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This international prelimina and is transmitted to the app	ry examination report has be licant according to Article 3	cen prepared by this in 6.	ternational Preliminary Examining Authority		
2. This REPORT consists of a	total of sheet	s, including this cover	shect.		
been amended and are	companied by ANNEXES, the basis for this report and n 607 of the Administrative	d/or sheets containing	cription, claims and/or drawings which have rectifications made before this Authority (see PCT).		
These annexes consist of a t	otal of sheet	S.			
3. This report contains indicate	ions relating to the following	items:			
1 X Basis of the re	oort ·	•			
II Priority					
III Non-establishr	nent of opinion with regard t	o novelty, inventive st	ep and industrial applicability		
IV Lack of unity of	of the invention				
V Reasoned state citations and e	ment under Article 35(2) wi xplanations supporting such	th regard to novelty, in statement	ventive step or industrial applicability:		
VI Certain docum	ents cited				
VII Certain defects	tain defects in the international application				
VIII X Certain observ	X Certain observations on the international application				
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Date of submission of the demand		Date of completion	n of this report		
:					
Name and mailing address of the IP	ĒA/	Authorized officer	·		
Facsimile No.		Telephone No.			

International application No. PCT/FR 00/01628

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citations and explanations supporting such statement					
١.	Statement				
	0Novelty (N)	Claims	7, 10, 11	YES	
	:	Claims	1-6, 8, 9, 12-16	NO	
	Inventive step (JS)	Claims	7, 10, 11	YES	
		Claims		NO	
	Industrial applicability (IA)	Claims	1-16	YES	
		Claims		NO	

Citations and explanations

PCT Article 33(2)

Document WO 96 22696 (D1) discloses (example 1) a microcrystalline cellulose (Avicel FD 006) dispersed in water. A surfactant, Polycon S60K sorbitan monostearate, is then added to the dispersion. The mixture is homogenised, atomised and dried until the moisture level is 2-4 %. The resulting material can be used as a filling in confectionery. Example 4 discloses how 10 g of Polycon 60 sorbitan monostearate are dissolved in 100 g of 2propanol, then 90 g of microcrystalline cellulose are added to the solution and the solution is stirred for 5 minutes. Given this disclosure, the subject matter of claims 1-6, 8, 9 and 12-16 is anticipated by D1. Document WO 96 22080 (D2) discloses (example 1) how 6.2 kg of microcrystalline cellulose in moist agglomerate form are combined with 5.2 kg of water to form a mixture containing around 15 % of solid particles. The mixture is stirred for 15 minutes then combined with 0.25 % weight/ weight of sodium lauryl sulphate. Once the components have been intimately combined, the mixture is spray-dried. The resulting product is used as a pharmaceutical excipient (see the abstract). Given this disclosure, the subject matter of claims 14-16 is anticipated by D2.

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Document US-A-5 769 934 (D3) discloses a dry mixture of microcrystalline cellulose and a surfactant (claims 33-35). Given this disclosure, the subject matter of claim 14 is anticipated by D3.

No prior art document discloses a dispersion containing a cosurfactant. Therefore, the novelty of the subject matter of claim 7 is acknowledged.

No prior art document discloses a method comprising the following combination of steps: (1) forming an aqueous dispersion, (2) removing water from the dispersion to give a dry mixture, and (3) dispersing the mixture in an organic solvent. Therefore, the novelty of the subject matter of claims 10 and 11 is acknowledged.

PCT Article 33(3)

The aim of the present invention is to provide suspensions or dispersions of polysaccharide microfibrils and/or microcrystals in organic solvents, particularly non-polar or low-polar solvents, and to provide aqueous suspensions or dispersions of polysaccharide microfibrils and/or microcrystals which, when dried, can be redispersed in water or an organic solvent while retaining their dispersion-related properties.

The prior art does not address this problem and contains no suggestion of a solution thereto. Therefore, an inventive step can be acknowledged in the subject matter of claims 7, 10 and 11 of the present application.

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VIII. Certain observations on the international application

The following observations on the clarity of the claims, description, and drawings or on the question whether the claims are fully supported by the description, are made:

- The phrase "as defined according to any one of 1. claims 10 to 13" used in claim 10 and claim 15 is unclear (PCT Article 6).
- The word "around" used in connection with a range of 2. values in claims 4-8 and 11 and the description renders the subject matter of said claims unclear (PCT Article 6).